## JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 30.06.2013

## (I) (a) Statement showing Shareholding Pattern

SCRIP C	ODE : 532642 Name of Scrip : JSWHL Class of Security : Equity Q	uarter Ended: 30.06	.2013	
Cate-		Number of	As a % of total	As a % of total
gory	Partly paid up shares	partly paid	number of partly	number of shares
Code		up shares	paid up shares	of the Company
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
		Number of	As a % of total	As a % of total
	Outstanding Convertible Securities	outstanding	No. of outstanding	No. of shares of the
		Securities	Con. Securities	Company, assuming
				full conversion of the
				Securities
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
		Number of	As a % of total	As a % of total
		warrants	number of warrants	number of shares
	<u>Warrants</u>			of the Company
				assuming full
				conversion of warrants
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
	Total paid up capital of the Company, assuming full	1,10,99,625	shares of Rs.10/- each	
	conversion of warrants and convertible securities	(Rs.	11,09,96,250/-)	

Cate-		Number of	Total No.	Number of	Total sharel	nolding as	Share	es pledged or	
gory	Category of	Share-	of shares	shares held	a percentage of total		otherwise encumbered		
Code	Shareholders	holders		in demated	number o	=	Number of	As a	
				form	As a perce		Shares	%	
					(A+B) <sup>1</sup>	(A+B+C)	0.1	,,	
(1)	(11)	( III )	( IV )	(V)	( VI )	(VII)	(VIII)	(IX )=(VIII)/(IV)*100	
(A)	Shareholding of Promoter								
	and Promoter Group <sup>2</sup>								
(1)	Indian								
(a)	Individuals/H.U.F	22	28267	28267	0.25	0.25			
(b)	Cental/State Government(s)	0	0	0		0.00			
( c)	Bodies Corporate	18	6174794	6174794	55.63	55.63			
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00			
(e)	Any Other (specify)	0	0	0	0.00	0.00			
	Employee Welfare Trust		0	0					
	M&M Benfit Trust	0			0.00	0.00		N A	
(0)	Sub-Total (A)(1)	40	6203061	6203061	55.88	55.88	N.A.	N.A.	
(2)	Foreign								
	Non Resident Individuals/Foreign								
(a)	Nationals								
(b)	Bodies Corporate								
(c)	Institutions								
(d)	Any Other (specify)								
	Sub_Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A	
	Total holding of Promoter and								
	Promoter Group	40	6203061	6203061	55.88	55.88	N.A.	N.A	
	(A)=(A)(1)+(A)(2)								
<b>(5)</b>	5.1								
(B)	Public Shareholding3								
(1)	Institutions	45	4044	0700	0.04	0.04			
(a)	Mutual Fund/UTI	15	4641	3780	0.04	0.04 0.29			
(b)	Financial Institutions/Banks	<b>14</b> 0	<b>32491</b>	<b>31873</b>	<b>0.29</b> 0.00	0.29			
( c)	Central/State Government(s)	0	0	0	0.00	0.00			
(e)	Venture Capital Funds Insurance Companies	1	107172	107172	0.97	0.00			
(f)	Foreign Institutional Investors	29	799143	797881	7.20	7.20			
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00			
(9)	Sub-Total (B)(1)	59	943447	940706	8.50	8.50		N.A	
(2)	Non Institutions	00	0-10-1-11	040700	0.00	0.00	14.74.	14.74	
(a)	Bodies Corporate	1012	945928	941714	8.52	8.52			
(b)	Individuals	.0.2	040020	• • • • • • • • • • • • • • • • • • • •	0.02				
(~)	i) Holding nominal share capital			2233491	21.99	21.99			
	upto Rs. 1 lakh	33185	2440881						
	ii) Holding nominal share capital			348698	3.14	3.14			
	in excess of Rs. 1 lakh	13	348698						
( c)	Any Other(specify) Individual								
	Non-Resident Individual	1409	206168	168468	1.86	1.86			
	Foreign National	0	0	0	0.00	0.00			
	Overseas Corporate Bodies	2	10025	10000	0.09	0.09			
	Foreign Bodies	0	0	0	0.00	0.00			
	Trust	5	1417	1417	0.01	0.01			
	Clearing Member	0	0	0	0.00	0.00			
	Sub-Total (B)(2)	35626	3953117	3703788	35.62	35.62	N.A.	N.A	
	Total Public shareholding								
	(B)=(B)(1)+(B)(2)	35685	4896564	4644494	44.12	44.12			
	TOTAL (A)+(B)	35725	11099625	10847555	100.00	100.00	N.A.	N.A	
( C)	Shares held by Custodians and								
	against which Depository								
	Receipts have been issued								
1	Promoter and Promoter Group	0	0	0	0.00	0.00			
2	Public	0	0	0	0.00	0.00			
	GRAND TOTAL (A)+(B)+( C)	35725	11099625	10847555	100.00	100.00	N.A.	N.A	

Sr.No.	Name of the shareholder	Details of SI	hares held	Enc	umbered share	es(*)	Details o	of warrants		convertible urities	Total shares (including underlying
			As a % of grand total (A)+(B)+(C)	Number	Percentage	grand total	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
(1)	(11)	( III )	( IV )	(V)	( VI ) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(a)	<u>Individuals</u>									İ	
1	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
	AARTI JINDAL	250		0	0.00	0.00	NA	NA	NA	NA	0.00
	DEEPIKA JINDAL	1356		0	0.00		NA	NA	NA	NA	0.01
4	NAVEEN JINDAL & SONS (HUF)	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
5	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
	PRITHVI RAJ JINDAL	2097	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
	PRITHVI RAJ JINDAL H U F	1122	0.01	0	0.00		NA	NA	NA	NA	0.01
9	RATAN JINDAL	3708	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
	RATAN JINDAL	1470		0	0.00		NA	NA	NA	NA	0.01
	SAJJAN JINDAL	2197	0.02	0	0.00		NA	NA	NA	NA	0.02
12	S K JINDAL AND SONS HUF	1447	0.01	0	0.00		NA	NA	NA	NA	0.01
	SANGITA JINDAL	1181	0.01	0	0.00		NA	NA	NA	NA	0.01
	SAROJ BHARTIA	34	0.00	0			NA	NA	NA	NA	0.00
	SAVITRI DEVI JINDAL	1863	0.02	0	0.00		NA	NA	NA	NA	0.02
	SHRADHA JATIA	1257	0.01	0			NA	NA	NA	NA	0.01
	SMINU JINDAL	1381	0.01	0	0.00		NA	NA	NA	NA	0.01
	TANVI JINDAL	500	0.00	0	0.00		NA	NA	NA	NA	0.00
	TARINI JINDAL	1256		0	0.00		NA	NA	NA	NA	0.01
	TRIPTI JINDAL	1256		0	0.00		NA	NA	NA	NA	0.01
	URMILA BHUWALKA	1525	0.01	0	0.00		NA	NA	NA	NA	0.01
22	URVI JINDAL	1256		0	0.00		NA	NA	NA	NA	0.01
	Sub - Total (a)	28267	0.25	0	0.00	0.00	NA	NA	NA	NA	0.25

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Name of the shareholder	Details of S	Shares held	Enc	umbered shar	es(*)	Details of warrants sec			convertible urities	Total shares (including underlying
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	held	total number of	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
					(VI)=		a				0.00
(1)	(11)	( III ) 143262	( IV ) 1.29	(V)	(V)/(III)*100	(VII)	(VIII)	(IX) NA	(X) NA	(XI) NA	(XII)
	Abhinandan Investments Ltd			0				NA NA	NA NA	NA NA	1.29 2.05
	Colorado Trading Company Ltd Gagan Trading Company Ltd.	227060 538137	2.05 4.85	0	0.00			NA NA	NA NA	NA NA	2.05 4.85
	Jindal Equipment Leasing &	336137	4.00	U	0.00	0.00	INA	INA	INA	INA	4.00
· ·	Consultancy Services Ltd	419584	3.78	0	0.00	0.00	NA	NA	NA	NA	3.78
5	Nalwa Sons Investments Ltd	75		0				NA	NA NA	NA NA	0.00
	Jindal Holdings Ltd	132095	1.19	0				NA	NA NA	NA NA	1.19
	Mansarover Investments Ltd	267637	2.41	0				NA	NA NA	NA NA	2.41
8	Meredith Traders Pvt. Ltd.	3075	0.03	0		0.00		NA	NA	NA	0.03
9	Nalwa Investments Ltd	273587	2.46	0	0.00	0.00	NA	NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89		0.00	0.00	NA	NA	NA	NA	2.89
12	Rishikesh Finlease & Investments Pvt. Ltd.	1500	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	Stainless Investments Ltd	182734	1.65	0	0.00	0.00	NA	NA	NA	NA	1.65
14	Sun Investments Pvt. Ltd.	365407	3.29	0	0.00	0.00	NA	NA	NA	NA	3.29
	Vrindavan Services Pvt. Ltd.	642400		0				NA	NA	NA	5.79
16	Hexa Securities & Finance Co Ltd	94214	0.85	0	0.00	0.00		NA	NA	NA	0.85
	Hexa Tradex Limited	334	0.00	0				NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150		0				NA	NA	NA	12.85
	Sub-Total (b)	6174794	55.63	0				NA	NA	NA	55.63
	Grand Total	6203061	55.89	0	0.00	0.00	NA	NA	NA	NA	55.88

<sup>(\*)</sup> The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations , 2011

(I)(c)(i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

Sr. No.	Name of the	Number of	Shares as a	Details of	warrante	Details of c	onvertible securities	Total shares
31. 140.								
	shareholder	shares	percentage of		As a % of	Number of	As a % of total	(including
		held	total number	warrants	total number	convertible	number of	underlying shares
			of shares {i.e.,	held	of warrants	securities	convertible	assuming full
			Grand Total		of the same	held	securities of the	conversion of
			(A)+(B)+(C)		class		same class	warrants and
			indicated in		J.acc			convertible
			Statement at					securities as a %
			para (I)(a)					of diluted share
			above}					capital
1	ACACIA PARTNERS LP							
		219500	1.98	NA	NA	NA	NA	1.98
2	ACACIA INSTITUTIONAL							
_	PARTNERS,LP							
	-,	171200	1.54	NA	NA	NA	NA	1.54
3	BARCLAYS CAPITAL							
	MAURITIUS LIMITED							
		140698	1.27					1.27
	TOTAL	531398	4.79	NA	NA	NA	NA	4.79

.,.,,,	Statement showing ho PAC) belonging to the	_								
Sr.No.	Name(s) of the	Number of	Shares as a	Details	of warrants	Details of o	convertible securities	Total shares		
	shareholder(s) and the Persons Acting in Concert (PAC) with them	shares	percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)	warrants	number of warrants of the	Number of convertible securities held	As a % of total number of convertible securities of the same class	(including underlying shares assuming full conversion of warrants and		
	NIL									

(l) (d)	Statement showing det	ails of locked in shares		
Sr. No.	Name of shareholder	shares	· · · · · · · · · · · · · · · · · · ·	Promoter / Promoter Group / Public
	NIL	NIL	0.00	
	TOTAL	0	0.00	

(II) (a)	Statement showing details of Depository Receipts (DRS)							
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {l.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}				
			N.A.					
	TOTAL							

(II) (b)	Statement showing hole group" are in excess of			ares held by "promoter / promoter
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
			N.A.	
	TOTAL			

(III) (a) ategory	Statement showing the voting pattern the issuer (Give description of voting Category of shareholder		n class of se	ecurity Cla	ss X, Class			
ode	outegory or smaremonder		ss of securi		Voting Rights	i.e. (VI)		
		<del>-  </del>			1	As a %	As a %	
		Class X	Class Y	Class Z	(III+IV+V)	of (A+B)	of (A+B+C)	
(1)	(    )	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	
(A)	Promoter and Promoter Group	(,	( ,	( - /	( /	( /	( ,	
(1)	Indian							
(a)	Individuals/Hindu Undivided Family							
(b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
, ,	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Foreign							
(a)	Individuals (Non Resident/Foreign)			<u> </u>		1		
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	Total Shareholding of Promoter							
	and Promoter Group (A)=(A)(1) +							
	(A)(2)							
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/UTI							
(b)	Financial Institutions/Banks							
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Non Institutions							
(a)	Bodies Corporate							
(b)	Individuals							
	i. Individual shareholders holding							
	nominal share capital upto							
	Rs. 1 lakh							
	ii.Individual shareholders holding							
	nominal share capital in excess							
	of Rs. 1 lakh.							
(c)	Any Other (specify)							
	Sub-Total (B) (2)							
	Total Public shareholding (B)=(B)(1)+(B)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	TOTAL (A) + (B)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(C)	Shares held by Custodians and	1 1			**			
\ - <i>I</i>	against which Depository Receipts							
	GRAND TOTAL ( A )+( B )+( C )	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	